

01-26-01 A

101202 U.S. PTO
01/24/01

Please type a plus sign (+) inside this box → +

PTO/SB/05 (08-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

<i>Attorney Docket No.</i>	OR00-11201
<i>First Inventor</i>	John Russell
<i>Title</i>	System and Method for Producing a Virtual Online Book
<i>Express Mail Label No.</i>	EL 592 284 517 US

101202 U.S. PTO
01/24/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 27]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 2]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76.

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Copy (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. §3.73(b) Statement Power of Attorney
(when there is an assignee) (Combined with Declaration)
11. English Translation Document *(if applicable)*
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified copy of Priority Document(s) *(if foreign priority is claimed)*
16. Other: *Request under 35 USC 122(b)(2)(B)(i)....*
Other: *Power.of Atty.by Assignee; Check for \$.928*

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____ / _____ filed _____
 Prior application information: Examiner: _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label			or <input type="checkbox"/> Correspondence address below
Name	Park & Vaughan LLP <i>(Insert Customer Number or Bar Code Label here)</i>		
Address	22200 PATENT TRADEMARK OFFICE		
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Daniel E. Vaughan	Registration No. (Attorney/Agent)	42,199
Signature	<i>Daniel E. Vaughan</i>	Date	January 24, 2001

Burden Hour Statement: This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 928)

Complete if Known

Application Number	
Filing Date	January 24, 2001
First Named Inventor	John Russell
Examiner Name	
Group Art Unit	

Attorney Docket No. OR00-11201

0952769927
01/24/01

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to

Deposit Account Number 50-1003
Deposit Account Name Park & Vaughan

- Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status See 37 CFR 1.27

2. Payment Enclosed:
 Check Credit card Money Order Other Order

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101	710	201 355	Utility filing fee 710
106	320	206 160	Design filing fee
107	490	207 245	Plant filing fee
108	710	208 355	Reissue filing fee
114	150	214 75	Provisional filing fee
SUBTOTAL (1)		(\$ 710)	

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	21	-20** = 1	X 18 = 18
Independent Claims	5	-3** = 2	X 80 = 160
Multiple Dependent			=

** or number previously paid, if greater; For Reissues, see below

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
103	18	203 9
102	80	202 40
104	270	204 135
109	80	209 40
110	18	210 9
SUBTOTAL (2)		(\$ 178)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105	130	205 65	Surcharge – late filing fee or oath
127	50	227 25	Surcharge – late provisional filing fee or cover sheet
139	130	139 130	Non-English specification
147	2520	147 2520	For filing a request for <i>ex parte</i> reexamination
112	920*	112 920*	Requesting publication of SIR prior to Examiner action
113	1840*	113 1840*	Requesting publication of SIR after Examiner action
115	110	215 55	Extension for reply within first month
116	390	216 195	Extension for reply within second month
117	890	217 445	Extension for reply within third month
118	1390	218 695	Extension for reply within fourth month
128	1890	228 945	Extension for reply within fifth month
119	310	219 155	Notice of Appeal
120	310	220 155	Filing a brief in support of an appeal
121	270	221 135	Request for oral hearing
138	1510	138 1510	Petition to institute a public use proceeding
140	110	240 55	Petition to revive – unavoidable
141	1240	241 620	Petition to revive – unintentional
142	1240	242 620	Utility issue fee (or reissue)
143	440	243 220	Design issue fee
144	600	244 300	Plant issue fee
122	130	122 130	Petitions to the Commissioner
123	50	123 50	Petitions related to provisional applications
126	180	126 180	Submission of Information Disclosure Stmt
581	40	581 40	Recording each patent assignment per property (times number of properties)
146	710	246 355	Filing a submission after final rejection (37 CFR 1.129(a))
149	710	249 355	For each additional invention to be examined (37 CFR 1.129(b))
179	710	279 355	Request for Continued Examination (RCE)
169	900	169 900	Request for expedited examination of a design application
Other fee (specify) _____			
* Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$ 40)

SUBMITTED BY

Name (Print/Type)	Daniel E. Vaughan	Registration No (Attorney/Agent)	42,199	Telephone	650/474-1973
Signature	<i>Daniel E. Vaughan</i>			Date	January 24, 2001

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	John Russell
Title	System and Method for Producing a Virtual Online Book
Attorney Docket Number	OR00-11201

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 24, 2001

Date



Signature

Daniel E. Vaughan

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**